

SIGHTLY DIFFERENT:

1. PIONS: SYMMETRIES  $\nrightarrow$  SYMMETRY BREAKING  
 motivation: HIGGS AS A GOLDSTONE
2. AXIONS: WHAT  $\nrightarrow$  WHY  
 $\nrightarrow$  relation to pions  
 of "POOLTABLE ANALOGY"
3. WIMPS: MOTIVATION  
 DARK MATTER  $\nrightarrow$  HIGGS NATURALNESS  
 $\uparrow$  slides

SYMMETRY

GLOBAL:  $e^{i\alpha T}$   $\swarrow$

eg  $i\bar{\psi}\not{\partial}\psi + v(\bar{\psi}\psi)$   
 invt under rephasing

GAUGE:  $e^{i\alpha(x)T}$  (LOCAL)

$\hookrightarrow$  take a global symmetry  $\nrightarrow$   
 "promote" it to a mathematical redundancy

$$\psi(x) \equiv \underline{e^{i\alpha(x)T} \psi(x)}$$

equivalent state  
 (not "transformed state w/  
 same physics")

introduces GAUGE BOSON (force)

$$\text{eg } \bar{\psi} i\partial_\mu \gamma^\mu \psi \rightarrow \bar{\psi} e^{-i\alpha(x)} i\gamma^\mu \partial_\mu (e^{i\alpha(x)} \psi(x))$$

$$= i\bar{\psi}\not{\partial}\psi - \underbrace{[\partial_\mu \alpha(x)] \bar{\psi} \gamma^\mu \psi}_{\text{EXTRA TERM}}$$

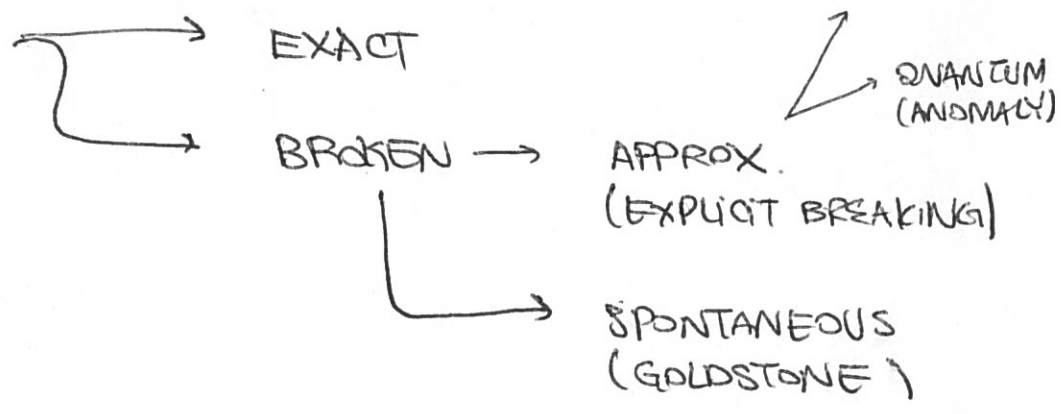
SO PROMOTE  $\partial_\mu \rightarrow \boxed{D_\mu = \partial_\mu - i A_\mu}$   
 s.t.  $\boxed{A_\mu \rightarrow A_\mu + \partial_\mu \alpha(x)}$  [for simplicity I set  $g=1$ ]

$$i\bar{\psi} \gamma^\mu D_\mu \psi \rightarrow i\bar{\psi} \gamma^\mu \partial_\mu \psi - [\partial_\mu \alpha(x)] \bar{\psi} \gamma^\mu \psi + \bar{\psi} \gamma^\mu A_\mu \psi + [\partial_\mu \alpha(x)] \bar{\psi} \gamma^\mu \psi = i\bar{\psi} \gamma^\mu D_\mu \psi \quad \checkmark$$

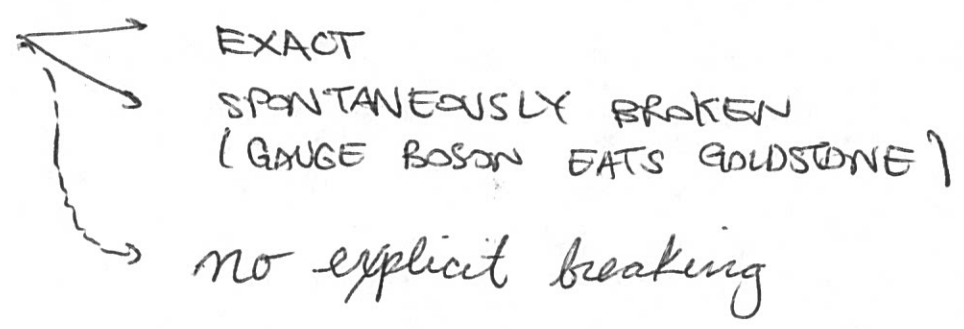
OBSERVE:  $A_\mu(x)$  IS A FIELD  $\rightsquigarrow$  force particle

## SYMMETRY BREAKING

GLOBAL SYM



GAUGE SYM



SM PIONS:

- PGB  $\rightarrow$  CHIRAL  $SU(2)_L \times SU(2)_R$  (flavor) IS SPONTANEOUSLY BROKEN
- $\rightarrow$  ... APPROX SYM FROM QUARK MASSES
- $\rightarrow$  ... DECAY RELATED TO ANOMALOUS U(1)<sub>A</sub>
- $\rightarrow$  EM IS UNBROKEN SUBGROUP THAT IS GAUGED

# GLOBAL SYM of SM (FLAVOR)

MATTER:  $Q \cup d \cup e$  x 3 generations

eg  $\begin{pmatrix} u_L \\ d_L \end{pmatrix} \quad \begin{pmatrix} c_L \\ s_L \end{pmatrix} \quad \begin{pmatrix} t_L \\ b_L \end{pmatrix}$

GLOBAL  $U(3)$  sym  $\cong SU(3) \otimes U(1)$   
(PERMUTE)

"if you know the Feynman rules of 1 gen, you know them for all 3"  
 (up to specific numbers)

$$\mathcal{L} = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\text{YUK}} + \mathcal{L}_{\text{HIGGS}}$$

↑  
 has full  $U(3)^5$  global sym.

$$y^u \bar{Q} \cdot \tilde{H} u + y^d \bar{Q} \cdot H d + y^e \bar{L} \cdot H e + \text{h.c.}$$

↑  
 3x3  $Q$  matrices in FLAVOR SPACE

this explicitly breaks  $U(3)^5$

eg  $Q \rightarrow U_q Q$   
 $u \rightarrow U_u u$

$$\bar{Q} \cdot \tilde{H} u \rightarrow \tilde{H} \bar{Q} \left[ U_q^\dagger U_u \right] u$$

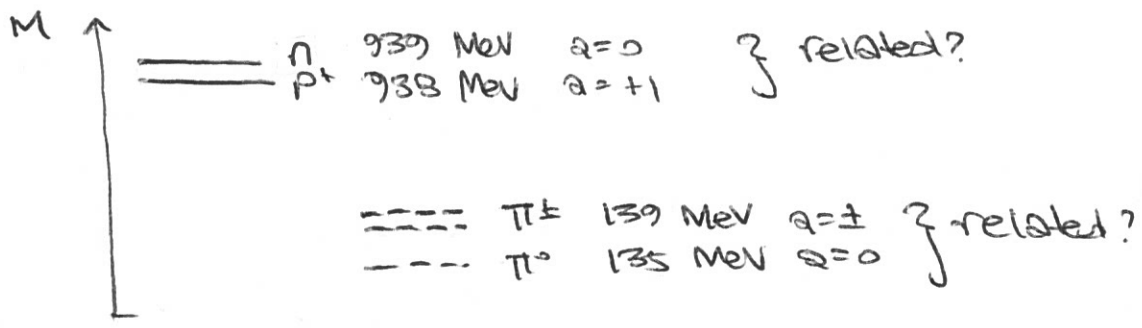
left over sym:  
 $U_q^\dagger = U_u^\dagger$

$$U(3)^2 \rightarrow U(3)$$

or:  $U(3)_q \times U(3)_u \rightarrow U(3)_V$   
 ↑  
 DIAGONAL

# APPROXIMATE SYM: BOTTOM-UP

in ancient times:



maybe:  $\exists$  APPROX SYM, ISOSPIN  $SU(2)$  ( $\neq SU(2)_L$ )  
 w/

DOUBLET  $\begin{pmatrix} p \\ n \end{pmatrix}$  ← of HIGGS is  $\cong$  of  $SU(2)_L$

TRIPLET  $\begin{pmatrix} \pi^+ \\ \pi^0 \\ \pi^- \end{pmatrix}$  ← of  $W^\pm, W^3$  is  $\cong$  of  $SU(2)_L$

what about CHARGE? appears that

$$Q = T^3 + \frac{1}{2} B$$

↑ BARYON #

remark: when you add in the "strange hadrons" you also identify a "strangeness #" from selection rules

$$Q = T^3 + \frac{1}{2} (B + S)$$

call this HYPERCHARGE,  $Y$

eg  $\begin{pmatrix} K^+ \\ K^0 \end{pmatrix}$

$q=1$	$T^3 = \frac{1}{2}$	$B=0$	$S=1$
$q=0$	$T^3 = -\frac{1}{2}$	$B=0$	$S=1$

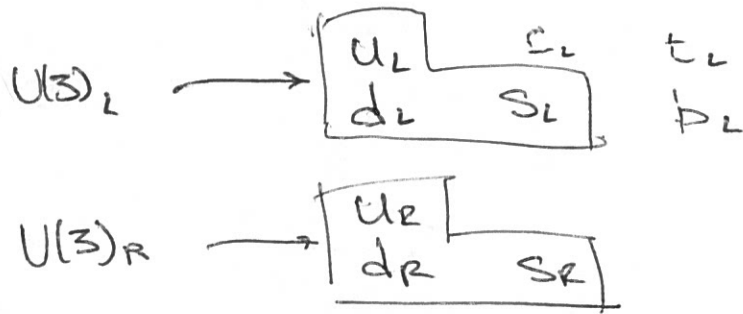
in fact

$$SU(2) \times U(1)_Y \subset SU(3)_F$$

$\swarrow$   
 Gell-Mann 8-fold way  
 classification of hadrons

relation to 'fundamental' physics?

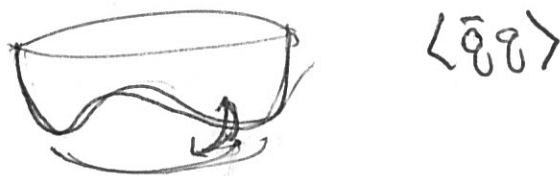
sym of QCD when light quarks  $\rightarrow$  massless  
 $\uparrow$  ignore EW  $\quad \quad \quad \swarrow$   
 $u, d, s$



in fact - just take  $U(2)_L \times U(2)_R$ .

SYMMETRY :  $U(2)_L \times U(2)_R = SU(2)_V \times SU(2)_A \times \underbrace{U(1)_B}_{\substack{\uparrow \\ \text{BARYON \#} \\ \uparrow \\ \text{GOOD SYM}}} \times \underbrace{U(1)_A}_{\uparrow \\ \text{AXIAL}}$

QCD BREAKS  $SU(2)_A$  SPONTANEOUSLY



# Goldstone bosons

- rule:
- take vev
  - transform it w/ broken sym. [SPONTANEOUSLY]
  - promote sym param to field.

$$U(1): \langle \phi \rangle = v \rightarrow e^{i\alpha} v \rightarrow \boxed{e^{i \frac{d(x)}{v}} v}$$

- PLACE  $\langle \phi \rangle$  into your theory "before" sym breaking.

eg  $|\partial\phi|^2 \rightarrow (\partial\alpha)^2$  kinetic term

FOR  $SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$ :

WRITE BIFUNDAMENTAL  $U \mapsto LUR^\dagger$

$$\langle U \rangle = f_\pi \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}$$

invt under  $L=R$   $SU(2)_V$   
but breaks  $L=R^\dagger$   $SU(2)_A$

$$\langle U \rangle \xrightarrow{SU(2)_A} e^{i\alpha^a T^a} \langle U \rangle e^{i\alpha^a T^a}$$

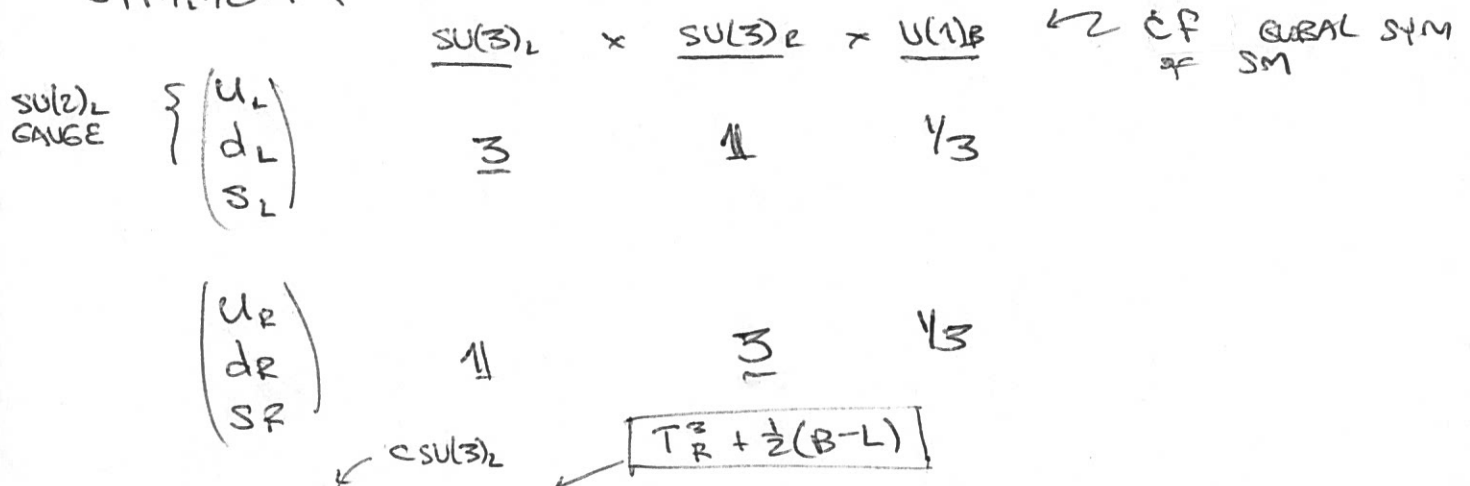
$$\boxed{U_\pi(x) \equiv e^{2i\pi^a(x)T^a/f_\pi}$$

then:  $\mathcal{L} \sim \text{Tr} [(\partial U_\pi^\dagger)(\partial U_\pi)] = \frac{4}{f_\pi^2} (\partial\pi^a)(\partial\pi^b) \text{Tr}(T^a T^b) + (\text{DERIV. INST.})$

↑  
so normalize by  $f_\pi^2/4$

$$U = 1 + \frac{2i}{f_\pi} \pi^a T^a - \frac{2}{f_\pi^2} \pi^a \pi^b T^a T^b + \dots$$

SYMMETRY:



GAUGE  $SU(2)_L \times U(1)_Y \subset SU(3)_L \times SU(3)_R \times U(1)_B$

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - ig W_\mu^a \frac{\tau^a}{2} - ig' \frac{\tau^3}{2} B_\mu$$

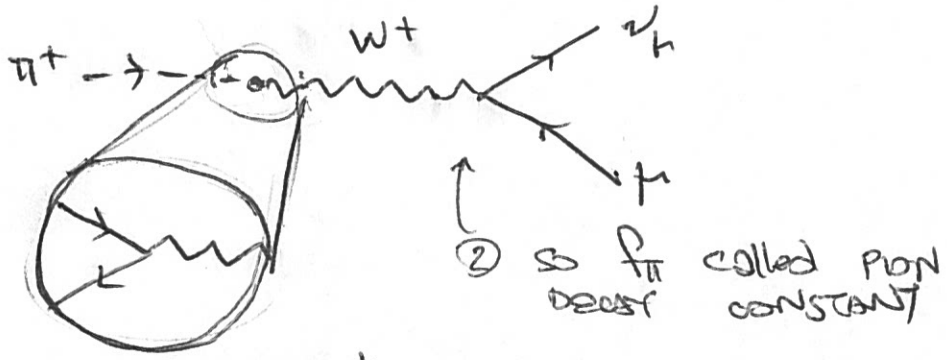
acts from left on  $\psi$

acts from RIGHT on  $\psi$

this explicitly breaks global sym

$\hookrightarrow$  introduces terms that are not symmetric

including:  $g \frac{f_\pi}{2} W_\mu^\pm \partial^\mu \pi^\mp + h.c.$



① LOOKS LIKE  $W^\pm$  EATS  $\pi^\pm$

$$\langle U_\pi \rangle = 11$$

indeed:  $\frac{f_\pi^2}{4} \text{Tr} (D U_\pi^\dagger) (D U_\pi) \Rightarrow$  GAUGE BOSON MASSES

$$f_\pi \approx 93 \text{ MeV}$$

$$\frac{g^2 f_\pi^2}{4} W^+ W^- + \frac{(g^2 + g'^2)}{4} f_\pi^2 Z^2$$

nb: not so far fetched that this framework may be adapted to  $\mathcal{O}(100 \text{ GeV})$  EWSB!

↳ ORIGINAL IDEA: just scale this up = TECHNICOLOUR but need radial mode  $\rightarrow$  "HIGGS AS PNOB"

WHAT ABOUT PION MASS?

from explicit breaking

quark YUKAWAS (MASSES)

gauging (LOOPS)

$$\left[ \bar{q}_L^+ M q_R + \text{h.c.} \right]$$

$\uparrow$   $\bar{3}$  of  $SU(3)_L$        $\uparrow$   $3$  of  $SU(3)_R$

trick: PRETEND  $M$  is a field st. this is invariant

$\Rightarrow M$  is  $(3, \bar{3})$  under  $SU(3)_L \times SU(3)_R$  "bifundamental"

$\Rightarrow$  then set to a "vev"  $M = \begin{pmatrix} m_u & & \\ & m_d & \\ & & m_s \end{pmatrix}$



PUT IN SIMPLEST (lowest order) INTERACTION

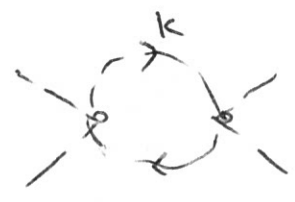
$$\mathcal{L} \supset \frac{3}{f_\pi} \text{Tr} (M^\dagger U_\pi + \text{h.c.}) \leftarrow \text{eg. } -m f_\pi^3 \cos(\pi^a/f_\pi)$$

⇒ work out spectrum

↳ in ANCIENT TIMES: did not know  $M_u, M_d, M_s$   
 so derive mass relations  
 (Gell-Mann - Okubo) → assume  $M_u = M_d$   
 $3M_m^2 + M_\pi^2 = 4M_K^2$

last remarks

Perturbativity:



shift sym.

$$\sim \frac{P^2}{f_\pi^4} \int \frac{d^4 k}{(4\pi)^2} \frac{k^2}{k^4} \sim \frac{\Lambda^2}{(4\pi)^2}$$



$$\Rightarrow \Lambda \lesssim 4\pi f$$

↑  
 $\sim 100 \text{ MeV}$   
 $\sim \text{GeV}$

scale @ which EXACT PERT. THY breaks as an effective thg

all of this carries over to building theory of HIGGS as a "PLON"

# AXIONS

recall symm. of low-E QCD

$$U(2)_L \times U(2)_R = SU(2)_V \times \boxed{SU(2)_A} \times U(1)_B \times \textcircled{U(1)_A}$$

approx. broken by EW  
 SMALL MASS

SPONT. BREAK  
 { expl. or by MASS, GAUGING

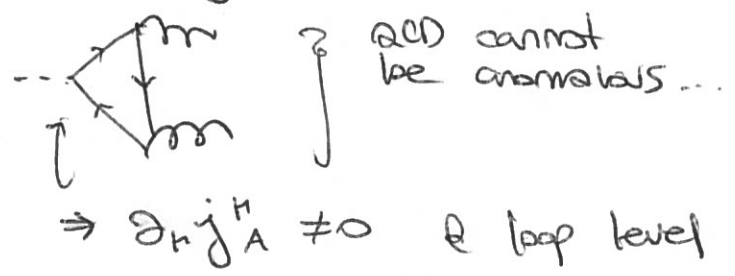
GOOD SYM  
 (well, B-L)

spont. broken by  $\langle \bar{\eta}\eta \rangle$   
... where's the GOLDSTONE?

$\textcircled{\eta'}$   $\leftarrow$  "eta-prime problem"  
( $\eta'$  is the  $SU(3)$  version)

ANS: EXPLICITLY BROKEN  
 $m_{\eta'} \sim \text{GeV} !! \leftarrow$  BIG MASS ( $m_{\eta'} \sim \Lambda$ )

broken by ANOMALY  
 $\uparrow$  mathematical consistency of QFT



In fact: explicit breaking  $\rightarrow$  mass terms

$$V \sim -M_u f_\pi^3 \cos\left(\frac{\pi}{f_\pi} + \frac{\pi'}{f_\pi}\right)$$

$$- M_d f_\pi^3 \cos\left(\frac{\pi}{f_\pi} - \frac{\pi'}{f_\pi}\right)$$

$$- \Lambda_{QCD}^4 \cos\left(\frac{2\pi'}{f_\pi}\right)$$

comes from  $\delta \mathcal{L}_{AXIAL} > \frac{ds}{8\pi} G^a_\mu G^{\mu a} \times 4\alpha$  (AXIAL TRANSF)

why isn't this in  $\mathcal{L}_{QCD}$ ?

... it is.

... it's a puzzle

$$\mathcal{L}_{QCD} > \frac{ds}{8\pi} \Theta_{YM} G G \leftarrow CP \text{ VIOLATING}$$

$\uparrow$  beta angle  
just same param  
(has to do w/ QCD vacuum)

Further: MASS TERMS in QCD could have  $\phi$  PHASE  $\rightarrow$  these can be absorbed into  $\Theta_{YM}$  ...  
now contains contributions from very diff. types of phys!  $\neq$

OBSERVABLE : Electric dipole moment of the neutron

observed?  $\boxed{\Theta_{YM} \lesssim 10^{-11}}$   
 CP VIOLATION IS SMALL IN QCD

eh?!  
 expect:  $\Theta(1)$

shows up in Goldstone pot:

$$\begin{aligned}
 V &\sim -M_u f^3 \cos\left(\frac{\pi}{f} + \frac{M'}{f}\right) \\
 &- M_d f^3 \cos\left(\frac{\pi}{f} - \frac{M'}{f}\right) \\
 &- \Lambda^4 \cos\left(\frac{2M'}{f} - \Theta_{YM}\right) \leftarrow \text{nonpert. QCD}
 \end{aligned}$$

strong CP problem : if  $\Theta_{YM} = 0 \rightarrow$  nice  
 min of pot @  $\langle \pi \rangle = \langle M' \rangle = 0$   
 $\rightarrow$  no CP violation in strong sector (explicit CP phases)

but: no reason for this!

Solution : introduce a new U(1) symmetry that is also anomalous w/rt QCD  $\rightarrow$  spontaneously broken

$\hookrightarrow$  Goldstone is axion

$$\begin{aligned} \text{then } V \rightarrow & -M_u f^3 \cos\left(\frac{\pi}{f_\pi} + \frac{M'}{f_\pi}\right) \\ & -M_d f^3 \cos\left(\frac{\pi}{f_\pi} - \frac{M'}{f_\pi}\right) \\ & -\Lambda^4 \cos\left(\frac{2M'}{f_\pi} - \theta_{YM} + \frac{a}{f_a}\right) \end{aligned}$$

can have  
 $\langle a/f_a \rangle = \theta_{YM}$

$$\Rightarrow \boxed{\langle \pi \rangle = \langle M' \rangle = 0}$$


in QCD    in QCD

must have some

a  $G\tilde{G}$  interaction.



usually you get other interactions, too  
 eg  $aFF$



(inherited from mixing w/  $\pi^0$ )

but details are model dependent  
 (How you spontaneously break PQ & arrange  
 for it to be anomalous w/PT QCD)

see: The POOL-TABLE analogy to Axion Physics  
 Phys Today 49,12 (1996)  
 Pierre Sikivie