

## An Introduction to Knot Theory from String Theory



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- Knot Invariants and M-Theory I: Hitchin Equations, Chern-Simons Theory and Surface Operators, K.D, Veronica Errasti Diez, P. Ramadevi and Radu Tatar 1608.05128.
- A Companion to Knot Invariants and M-Theory I: Proofs and Derivations, Veronica Errasti Diez, 1702.07366
- Fivebranes and Knots, Edward Witten, 1101.3216
- Electric Magnetic Duality and the Geometric Langland Programme, Anton Kapustin and Edward Witten, hep-th/0604151
- Knot Invariants and M-Theory II, K.D, Veronica Errasti Diez, K. Gopala Krishna, Rohit Jain, P. Ramadevi and Radu Tatar To appear


## Cast of characters

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## Veronica Errasti Diez

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P. Ramadevi


Radu Tatar

## Outline of the talk

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- Topological field theory from branes in string theory
- A M-theory theory realization of the topological set-up
- Getting the full topological action from M-theory
- Towards knot theory from M-theory
- Discussions and conclusions


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Trefial Knot


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I.

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II.

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and
and

untwist

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Thus using untwist, poke and slide moves, allows us to see the above simplification!

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$$
J(\mathbf{K}, R, q)=\langle W(\mathbf{K}, R)\rangle
$$

$$
=\int \mathcal{D} A \exp \left[i k \int_{\mathbf{R}^{3}} \operatorname{Tr}\left(A \wedge d A+\frac{2}{3} A \wedge A \wedge A\right)\right] \operatorname{Tr}_{R} P \exp \left(\oint_{\mathbf{K}} A\right)
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q=\exp \left(\frac{2 \pi i}{k+h}\right)
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Similarly for the fundamental representation of $S O(N)$, we get the Kauffman polynomials.

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Is there an easier way to understand and appreciate some of the above-mentioned mathematical ideas? This is where string theory comes to our rescue!

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Despite the size, it is an immensely readable paper and discusses many interesting facets of S-duality related to the Euclideanized version of $\mathcal{N}=4$ supersymmetric YM theory.

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\mathcal{F}_{a b} \quad+2\left[\varphi_{a}, \varphi_{b}\right]=0
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Now choose an instanton number $n$ for a gauge group $S U(2)$, and for the given choice of the instanton number, solve the BHN equations.

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Now choose an instanton number $n$ for a gauge group $S U(2)$, and for the given choice of the instanton number, solve the BHN equations. Let us call the number of solutions of the BHN equation to be $a_{n}$.

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Many questions now arise: What set-up are we talking about? How do we distinguish the knots using instanton numbers? Where is the topological field theory? Why on earth would solutions of certain differential equations have anything to do with knot polynomials?

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This doesn't entail the full Khovanov homology, but is a step towards that direction.

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The dotted lines being the NS5-brane and the solid lines are the D3-branes. The intersection is three-dimensional i.e along ( $x_{0}, x_{1}, x_{2}$ ) directions in Euclidean space.

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You might ask what's the big deal here? While without doing any computations one might have predicted the boundary 3d theory to be of the Chern-Simons kind, but the subtlety is that the gauge field that appears in $S_{b}$ is not the Chern-Simons gauge field $\mathcal{A}$ !

In fact without doing the computations, we would have never been able to see that the twisted scalar fields (which we now call $\phi$ ) would combine with the Chern-Simons gauge field $\mathcal{A}$ to give us the $A$ that appears in $S_{b}$ as

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Note that under twisting, the $\mathcal{N}=4$ scalar fields action gets a contribution from the intersection region in such a way so as to tag along with the gauge field $\mathcal{A}$ to give us precisely a Chern-Simons action $S_{b}$ ! And that's the miracle!

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The answer turns out to be yes, by dualizing the Witten's set-up to M-theory. Once we insert another parallel NS5-brane at the other end of the D3-branes and dualize this to M-theory, the branes disappear and are converted to geometry in M-theory!

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The geometry in M-theory is parametrized by certain warp factors $\left(F_{1}(r), \widetilde{F}_{2}(r), F_{3}(r), F_{4}(r, .).\right)$ and the $\theta$-term by $\theta$.

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The geometry in M-theory is parametrized by certain warp factors ( $F_{1}(r), \widetilde{F}_{2}(r), F_{3}(r), F_{4}(r, .$.$) ) and the \theta$-term by $\theta$. Most of the warp-factors are functions of the radial coordinate $r$, while $F_{4}$ is more generic.

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The story is very detailed, but thankfully straightforward.

## After the dust settles, the four-dimensional Hamiltonian is easy to write down. This is given by

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which, as described in [11], can be made by picking the three scalar fields in $\vec{X}$ and one scalar field from $\vec{Y}$ (which we take here as $\varphi_{3}$ ). This means the complex $\sigma$ field of [11], for our case will become:

$$
\begin{equation*}
\sigma \equiv \mathcal{A}_{r}+i \mathcal{A}_{\phi 1} . \tag{3.157}
\end{equation*}
$$

The Gauss law constraint and the identification of the scalar fields will lead us to compute the Hamiltonian from the total effective action (3.153). Isolating the same scalar $\mathcal{A}_{3}$, the expression for the Hamiltonian, for the case when $c_{2}=0$ in (3.153), can be expressed as sum of squares of various terms in the following way:

$$
\begin{align*}
& \mathcal{H}=\int d^{3} x \operatorname{Tr}\left\{\sum_{\alpha=1}^{2} \frac{c_{1}}{v_{3}}\left(\sqrt{c_{11}} \mathcal{F}_{\alpha 0}-\sqrt{c_{\mathrm{o} 3}} \mathcal{D}_{\alpha} \mathcal{A}_{3}\right)^{2}+\frac{c_{1}}{v_{3}}\left(\sqrt{c_{12}} \mathcal{F}_{\psi 0}-\sqrt{c_{\psi 3}} \mathcal{D}_{\psi} \mathcal{A}_{3}\right)^{2}\right. \\
& +\frac{c_{1}}{v_{3}}\left(\sqrt{c_{0 r}} \mathcal{D}_{0} \mathcal{A}_{r}-i \sqrt{a_{2}}\left[\mathcal{A}_{3}, \mathcal{A}_{r}\right]\right)^{2}+\frac{c_{1}}{v_{3}}\left(\sqrt{c_{0 \phi_{1}}} \mathcal{D}_{0} \mathcal{A}_{\phi_{1}}-i \sqrt{a_{4}}\left[\mathcal{A}_{3}, \mathcal{A}_{\phi_{1}}\right]\right)^{2} \\
& +\frac{c_{1}}{v_{3}}\left(s^{(1)} c_{\psi r}\left(\mathcal{D}_{\psi} \mathcal{A}_{r}\right)^{2}+s^{(2)} c_{\psi \phi_{1}}\left(\mathcal{D}_{\psi} \mathcal{A}_{\phi_{1}}\right)^{2}+t^{(1)} c_{\beta r}\left(\mathcal{D}_{\beta} \mathcal{A}_{r}\right)^{2}+t^{(2)} c_{\beta \phi_{1}}\left(\mathcal{D}_{\beta} \mathcal{A}_{\phi_{1}}\right)^{2}\right) \\
& +\sum_{k=1}^{3}\left(\sqrt{b_{0 k}} \mathcal{D}_{0} \varphi_{k}-i \sqrt{c_{3 k}}\left[\mathcal{A}_{3}, \varphi_{k}\right]\right)^{2}+\frac{c_{1} c_{03}}{v_{3}}\left(\mathcal{D}_{0} \mathcal{A}_{3}\right)^{2}+\sum_{\alpha, \beta=1}^{2}\left(\sqrt{\frac{c_{1} c_{11}}{2 v_{3}}} \mathcal{F}_{\alpha \beta}\right. \\
& +\sqrt{\frac{c_{1} c_{\psi r}}{v_{3}}} s_{\alpha \beta}^{(1)} \epsilon_{\alpha \beta \psi r} \mathcal{D}_{\psi} \mathcal{A}_{r}+\sqrt{\frac{c_{1} C_{\psi \phi \phi_{1}}}{v_{3}}} s_{\alpha \beta}^{(2)} \epsilon_{\alpha \beta \psi \phi_{1}} \mathcal{D}_{\psi} \mathcal{A}_{\phi_{1}}+\sum_{\delta=1}^{3} \sum_{k=1}^{3} \sqrt{b_{\delta k}} \epsilon_{\alpha \beta} \cdot m_{\delta k}^{(1)} \mathcal{D}_{\delta} \varphi_{k} \\
& -\sum_{k, l} i g_{\alpha \beta k l}^{(1)} \sqrt{d_{k l}}\left[\varphi_{k}, \varphi_{l}\right]-\sum_{k=1}^{3} i\left(g_{\alpha \beta k}^{(2)} \sqrt{c_{r k}}\left[\mathcal{A}_{r}, \varphi_{k}\right]+g_{\alpha \beta k}^{(3)} \sqrt{c_{\phi_{1} k}}\left[\mathcal{A}_{\phi_{1}}, \varphi_{k}\right]\right) \\
& \left.-i g_{\alpha \beta}^{(4)} \sqrt{\frac{c_{1} a_{1}}{v_{3}}}\left[\mathcal{A}_{r}, \mathcal{A}_{\phi_{1}}\right]\right)^{2}+\frac{\left(\mathbf{Q}_{\mathrm{E}}+\mathbf{Q}_{\mathrm{M}}\right) \delta^{3} x}{\operatorname{dim} G}+\sum_{\alpha=1}^{2}\left(\sqrt{\frac{c_{1} c_{12}}{2 v_{3}}} \mathcal{F}_{\alpha \psi}+\sqrt{\frac{c_{1} c_{\beta r}}{v_{3}}} t_{\alpha}^{(1)} \epsilon_{\alpha \psi \beta r} \mathcal{D}_{\beta \beta} \mathcal{A}_{r}\right. \\
& +\sqrt{\frac{c_{1} c_{\beta \phi 1}}{v_{3}}} t_{\alpha}^{(2)} \epsilon_{\alpha \psi \beta \phi_{1}} \mathcal{D}_{\beta} \mathcal{A}_{\phi_{1}}+\sum_{\delta=1}^{3} \sum_{k=1}^{3} \sqrt{b_{\delta k}} \epsilon_{\alpha \psi} \cdot m_{\delta k}^{(2)} \mathcal{D}_{\delta} \varphi_{k}-\sum_{k, l} i h_{\alpha \psi k l}^{(1)} \sqrt{d_{k l}}\left[\varphi_{k}, \varphi_{l}\right] \\
& \left.-\sum_{k=1}^{3} i\left(h_{\alpha \psi k}^{(2)} \sqrt{c_{r k}}\left[\mathcal{A}_{r}, \varphi_{k}\right]+h_{\alpha \psi k}^{(3)} \sqrt{c_{\phi_{1} k}}\left[\mathcal{A}_{\phi_{1}, \varphi_{k}}\right]\right)-i h_{\alpha \psi}^{(4)} \sqrt{\frac{c_{1} a_{1}}{v_{3}}}\left[\mathcal{A}_{r}, \mathcal{A}_{\phi_{1}}\right]\right)^{2} \\
& \left.+\sum_{k, l} q_{k l}^{(1)} d_{k l}\left[\varphi_{k}, \varphi_{l}\right]^{2}+\sum_{k=1}^{3} \sum_{\gamma=2}^{3} q_{k}^{(\gamma)} c_{y_{\gamma} k}\left[\mathcal{A}_{y_{\gamma},}, \varphi_{k}\right]^{2}+\frac{q^{(4)} c_{1} a_{1}}{v_{3}}\left[\mathcal{A}_{r}, \mathcal{A}_{\phi_{1}}\right]^{2}\right\}, \tag{3.158}
\end{align*}
$$

where $\mathrm{Q}_{\mathrm{E}}$ and $\mathrm{Q}_{\mathrm{M}}$ are the electric and the magnetic charges respectively, which will be determined later; $\operatorname{dim} G$ is the dimension of the group; and $\delta \equiv(\alpha, \psi)$,
$\left(y_{2}, y_{3}\right) \equiv\left(r, \phi_{1}\right)$. Most of coefficients appearing in (3.158) have been determined

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\begin{aligned}
& \mathcal{D}_{0} \mathcal{A}_{3}=0, \quad\left(\sqrt{b_{0 k}}-\sqrt{c_{3 k}}\right)^{2}\left[\mathcal{A}_{3}, \varphi_{k}\right]^{2}=0 \\
& \left(\sqrt{c_{11}}-\sqrt{c_{\alpha 3}}\right)^{2}\left(\mathcal{D}_{\alpha} \mathcal{A}_{3}\right)^{2}=0, \quad\left(\sqrt{c_{12}}-\sqrt{c_{\psi 3}}\right)^{2}\left(\mathcal{D}_{\psi} \mathcal{A}_{3}\right)^{2}=0 \\
& \left(\sqrt{c_{0 r}}-\sqrt{a_{2}}\right)^{2}\left[\mathcal{A}_{3}, \mathcal{A}_{r}\right]^{2}=0, \quad\left(\sqrt{c_{0 \phi_{1}}}-\sqrt{a_{4}}\right)^{2}\left[\mathcal{A}_{3}, \mathcal{A}_{\phi_{1}}\right]^{2}=0
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& c_{11}(\theta)=R_{3} \sec \theta \int_{0}^{\infty} d r e^{2 \phi_{0}} \sqrt{\frac{F_{1} \widetilde{F}_{2} F_{3}}{\widetilde{F}_{2}-F_{3}}} \ln \left|\frac{\sqrt{\widetilde{F}_{2}}+\sqrt{\widetilde{F}_{2}-F_{3}}}{\sqrt{\widetilde{F}_{2}}-\sqrt{\widetilde{F}_{2}-F_{3}}}\right| \\
& c_{\alpha 3}(\theta)=R_{3} \sec \theta \int_{0}^{\infty} d r \frac{e^{2 \phi_{0}}}{H_{2}} \sqrt{\frac{F_{1} \widetilde{F}_{2} F_{3}}{\widetilde{F}_{2}-F_{3}}} \ln \left|\frac{\sqrt{\widetilde{F}_{2}}+\sqrt{\widetilde{F}_{2}-F_{3}}}{\sqrt{\widetilde{F}_{2}}-\sqrt{\widetilde{F}_{2}-F_{3}}}\right| .
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& c_{\alpha 3}(\theta)=R_{3} \sec \theta \int_{0}^{\infty} d r \frac{e^{2 \phi_{0}}}{H_{2}} \sqrt{\frac{F_{1} \widetilde{F}_{2} F_{3}}{\widetilde{F}_{2}-F_{3}}} \ln \left|\frac{\sqrt{\widetilde{F}_{2}}+\sqrt{\widetilde{F}_{2}-F_{3}}}{\sqrt{\widetilde{F}_{2}}-\sqrt{\widetilde{F}_{2}-F_{3}}}\right| .
\end{aligned}
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They are equal if and only if $H_{2}=1$

Since $\mathcal{A}_{3}$ and $\varphi_{k}$ are non-zero, these equations can only be satisfied if there exist some equality between the coefficients. Lets do some checks.

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They are equal if and only if $H_{2}=1$, where $H_{2} \equiv H_{2}\left(\widetilde{F}_{1}, F_{2}, F_{3}, F_{4}\right)$ is another warp factor.

Lets make one more check, this time using other coefficients that appeared in the minimizing equations.

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& b_{0 k}(\theta)=2 R_{3} \sec \theta \int_{0}^{\infty} d r e^{2 \phi_{0}}\left(\frac{F_{3}}{H_{2}}\right)^{1 / 3} \sqrt{F_{1} \widetilde{F}_{2}} \Theta_{12} \\
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One can easily check that they are identical if and only of $H_{2}=1$. In fact one may check all the minimizing equations and find similar conclusion! Thus these equations are exactly solved with $H_{2}=1$ !

## But there are more to it. Looking back at the Hamiltonian again we get another class of solutions that look like

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However the challenge is to get the boundary topological theory after twisting. Can we get this right?

## This time the miracle happens from the electric and the magnetic charges $Q_{E}$ and $Q_{M}$ respectively.

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S_{\text {bnd }} & =k \int_{\mathrm{w}} \operatorname{Tr}\left(\mathcal{A} \wedge d \mathcal{A}+\frac{2 i}{3} \mathcal{A} \wedge \mathcal{A} \wedge \mathcal{A}\right) \\
& +\int_{\mathrm{W}} \operatorname{Tr}\left\{2 d_{1} \mathcal{F} \wedge \phi+\frac{2 i}{3}\left(\frac{d_{1}^{3}}{k^{2}}\right) \phi \wedge \phi \wedge \phi+\left(\frac{d_{1}^{2}}{k}\right) \phi \wedge d_{\mathcal{A}} \phi\right\}
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& =k \int_{\mathbf{W}} \operatorname{Tr}\left\{\left[\mathcal{A}+\left(\frac{d_{1}}{k}\right) \phi\right] \wedge d\left[\mathcal{A}+\left(\frac{d_{1}}{k}\right) \phi\right]\right. \\
& \left.+\frac{2 i}{3}\left[\mathcal{A}+\left(\frac{d_{1}}{k}\right) \phi\right] \wedge\left[\mathcal{A}+\left(\frac{d_{1}}{k}\right) \phi\right] \wedge\left[\mathcal{A}+\left(\frac{d_{1}}{k}\right) \phi\right]\right\}
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where $k$ and $d_{1}$ are determined from the warp-factors $F_{i}$ appearing in our M-theory set-up discussed earlier.



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## Conclusion and discussion

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which has lead us to make further connections to the geometric Langland programme, Khovanov-Rozanski homology, opers and conformal blocks (that we did not discuss here).

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Hopefully it was not so confusing or boring! Thanks for listening.

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